

Technology Alliance Program

Oracle Enterprise Case Management & Blue Prism connected RPA: Modernizing Financial Crimes & Compliance with Intelligent Automation

Oracle's Financial Crimes and Compliance Management suite of applications integrates with [Blue Prism connected-RPA](#) to provide automated financial crimes case investigation and management. This scales to meet the growing demands of regulatory agencies to more efficiently and proactively monitor and manage risk for financial services customers.

Combining Blue Prism's Intelligent Digital Workforce with [Oracle's Enterprise Case Management \(ECM\) solution](#) helps banks and investment firms reduce the time it takes to enrich cases for financial crimes' investigations and more seamlessly handle regulatory compliance requirements for financial crimes monitoring. This integration adds **Planning & Sequencing** and **Collaboration** to Blue Prism RPA – part of Blue Prism's [Six Skills for Intelligent Automation](#), enabling optimized workloads and a Digital Workforce that can work alongside people within the same systems to seamlessly execute business processes.

Speed & Efficiency: Critical to Case Investigation

As methods for illegal activities and the level of criminal sophistication expands, so does the need for leveraging technology to expedite capabilities to improve efficiency and effectiveness for bank investigators and analysts to research cases.

Efficient resource management is paramount to handling increasing workloads—simply hiring more investigators is no longer a sustainable model. Case investigations also require the ability to quickly gather and analyze large amounts of related information that is often spread across various internal and external sources.

Blue Prism RPA + Oracle ECM: An Open Door to the Benefits of Intelligent Automation

Oracle's **Enterprise Case Management** solution—part of the Financial Crimes and Compliance Management (FCCM) suite of products—helps investigators and analysts conduct robust investigations, review findings, and make case outcome-based decisions. High quality investigations using tools to deliver case adjudication as swiftly as possible are critical for successfully identifying cases where suspicious activity is occurring to reduce the financial institution's risk profile.

For example, **Blue Prism Digital Workers can be trained to handle a variety of financial crimes-related investigation tasks**. Financial institutions can prompt Digital Worker tasks – either autonomously, or when a case manager requests it. Case managers can also use Blue Prism Digital Workers for initial case creation – training them to **populate case files overnight with enriched information** before investigators come to work in the morning, or alternatively **initiating information searches on-demand** at select times during the investigation workflow.

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As the pioneer of Robotic Process Automation, **Blue Prism** delivers a robust, secure, agile, and highly scalable Digital Workforce that follows rules-based business processes with built-in compliance capabilities and governance – just like a human workforce. This platform integrates with legacy and new IT systems – including business process management, OCR, AI, machine learning, and front- and back-office productivity tools – to help organizations automate tasks, increase efficiencies, boost productivity, and build competitive advantage.

The integration of Oracle's ECM solution with Blue Prism's Digital Workforce platform **brings efficiency and accuracy directly into the investigative workflow, increasing the quality of investigation outcomes**. This partnership not only provides **flexibility and configurability** for case management tasks, but also accelerates a financial institution's ability to execute investigation activities with **speed and precision, turning compliance into a competitive advantage**.

Using Blue Prism Digital Workers to perform typical manual tasks exponentially increases proficiency, while promoting stricter adherence to operational processes. Financial institutions can integrate Digital Workers at optimal stages of the investigation workflow, executing repetitive, labor intensive processes without interrupting investigation cadence. Blue Prism's Digital Workforce can also **easily adapt to new and changing AML requirements**, while **built-in platform nonrepudiation protocols offer centralized control of all processes**, along with logfiles that can be used as evidence in a case.

Selective Information, More Integrated Workflows

Understanding the investigation processes that deliver the most value is critical to deployment and ensuring implementation efficiency. Blue Prism RPA and Oracle ECM are a game-changer for financial institutions in this regard, opening the door to limitless possibilities for intelligent automation.

Blue Prism and Oracle's ECM also help financial institutions with:

- **Case Enrichment:** Automatically or manually call external services to retrieve information.
- **Legacy System Data Extraction:** Access internal systems to create customer profiles and enrich case information.
- **“Know Your Customer” (KYC) Onboarding:** Retrieve Negative News across private and public sources for electronic document delivery (EDD).
- **Manual Task Replication:** Automate common case management processes used during investigations.
- **Beneficial Ownership:** Extract ownership information from public and private sources, as well as monitor changes.
- **Automate the Regulatory Reporting Process:** Automated Suspicious Activity Report narrative preparation and the ability to proactively monitor report filing status across various jurisdictions.
- **OCR for Bill of Lading:** Extract bill of lading information for Trade-Based Anti-Money Laundering (AML) detection.

Automation With End-to-End Audit Trail

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The ability to produce a clean audit trail is essential for regulated industries, and especially key in financial crimes investigations. Blue Prism's heritage is in the Financial Services industry, therefore the regulatory and audit requirements of this industry are paramount to Blue Prism's operating principles.

A Digital Workforce requires the same security, oversight and governance as a human workforce. Blue Prism inherently provides the **ability to manage automations using Digital Workers from a single platform that includes non-repudiation functions for all collected logfiles** for total and centralized control of all RPA processes. The result/output of logfiles can then be used as audited evidence in financial investigation cases.

Summary

The Blue Prism/Oracle partnership makes it easy for financial institutions to automate the case management due diligence function within Oracle's ECM solution. This capability **leverages Blue Prism's Digital Workforce as additional "superhuman" caseworkers, automating the laborious, high-volume case management investigation process for swifter and more accurate resolution**, driving speed, effectiveness, and efficiency. Banks and investment firms **can now drive needed automations for investigation and reporting through the Line of Business employees, designing and manage automations without needing to rely on IT development cycles.**

About Blue Prism

In this digital era where start-ups are constantly disrupting markets, only the most agile and innovative enterprises survive and thrive. At Blue Prism, we pioneered Robotic Process Automation (RPA), emerging as the trusted and secure intelligent automation choice for the *Fortune 500* and public-sector market. Now we bring you connected-RPA supported by the Digital Exchange (DX) app store—marrying internal entrepreneurship with the power of crowdsourced innovation. Visit www.blueprism.com to learn more about Blue Prism (AIM: PRSM)

About Oracle Financial Services

Financial crime and compliance is one of four analytical subject areas in the unified Oracle Financial Services Analytical applications (OFSA) suite. **Oracle Financial Crime and Compliance Management** is a family of analytical applications with comprehensive coverage of money laundering, financial fraud, and onboarding compliance needs. Along with Oracle Financial Services Crime and Compliance Studio, this family of applications includes Oracle's best-in-class, integrated Anti Money Laundering, Enterprise Case Management, Know Your Customer, Transaction Filtering, Customer Screening, Enterprise Fraud, and Trading and Broker Compliance applications.